

**APPLICATION FOR CORPORATE
(DEPOSITORY)**



B.L.K. FINANCIAL SERVICES LTD.

DPID IN 301612

DESPOSITORY PARTICIPANT WITH NSDL



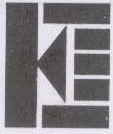
Regd. Office :

A-12, Kailash Colony, New Delhi-110 048. Phone : 26430113

Corporate Office :

5A/4B, Ansari Road, Darya Ganj, New Delhi-110 002
Tel. : 23274060, 39624972, 41564401, 02, 03 (M) : 9313510082, 83
Fax : 23242551 E-mail : blksp1@hotmail.com

CLIENT NAME :



B.L.K. FINANCIAL SERVICES LTD.

Account Opening Check List

Pl. Tick IDENTITY PROOF

- Memorandum & Articles of Association
- Board Resolution
- Photocopies for last 3 yrs. Income Tax Return
- MAPIN UID CARD
- Proof of Address of the Organisation

PROOF OF ID OF THE AUTHORISED SIGNATORY

- PAN Card
- Passport
- Voter ID Card
- Driving Lincense
- MAPIN UID Card

ADDRESS PROOF OF THE AUTHORISED SIGNATORY

- Proof of Address of the Authorised Signatory
- Passport
- Voter ID Card
- Driving License
- Ration Card
- Bank Passbook
- Rent Agreement
- Insurance Policy
- Telephone Bill
- Electricity Bill

OTHER REQUIREMENT

- Copy of cheque/cancelled cheque
- First Page of the Bank Pass Book and last 6 months statement
- Duly Signed Stamp Paper
- A/c opening form
- Recent passport size photograph on the account opening form and signed across the face
- Copy of additional Documents for financial appraisal
Last 3 yrs. IT returns, Property Tax Receipt, Details of Term/Fixed Deposits with Banks

Please ensure the following before submitting the documnets for account opening :-

- Telephone No.s & pin code.
- Introducer particulars.
- Client signature at all relevant spaces.
- Witness name, address & signature at relevant spaces.
- Copies of proofs verified with originals.

FOR OFFICE USE ONLY

Accepted by

Checked by

Entered by

Date :

Date :

Time :

Time :

Signature

Signature

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Sl. No. of Application _____

APPLICATION FOR OPENING AN ACCOUNT

(For Corporates / Clearing Members Only)

ANNEXURE K

Date		Client - Id (To be filled by Participant)								
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I / We request you to open a depository account in my / our name as per the following details :
(Please fill all the details in **CAPITAL LETTERS** only)

Type of Account

<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Bank	<input type="checkbox"/> Trust	<input type="checkbox"/> FI
<input type="checkbox"/> FI	<input type="checkbox"/> OCB	<input type="checkbox"/> Others (Please Specify)	

Client Details

Name of Corporate (Sole/ First Holder)					
Registered Office Address					
	Pin Code				
Correspondence Address (If different)					
	Pin Code				
Telephone No.	Mobile No.	SMS Facility	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Fax Number	E-mail ID :				
E-mail ID :	MAPIN ID :				

Other Holder Details

Second Holder Name					
Name of Father / Husband					
Address					
	Pin Code				
Telephone No.	Mobile No.	SMS Facility	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Fax Number	E-mail ID :				
Occupation	MAPIN ID :				

Declaration

Standing Instructions

I / We authorise you to receive credits automatically into my / our account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Introduction

(by an existing account holder / applicant's bank)

DP ID : _____ Client ID : _____ (Incase of existing account holder)

I Confirm the Identity and address of the applicant (s)

Name : _____

Signature of Introducer/
Signature and Seal incase of Bank
(To be verified by DP official)

Clearing Member Details (to be filled up by Clearing Members only)

Name of Stock Exchange	
Name of Clearing Corporation	
Clearing Member Id	
SEBI Registration No.	
Trade Name	
CM-BP-Id (to be filled up by participant)	

Please attach recent passport size photographs in the space provided below :

Sole / First Holder	Second Holder	Third Holder
<p>Signature Across Photograph</p>	<p>Signature Across Photograph</p>	<p>Signature Across Photograph</p>

Declaration

The rules and regulations of the Depository and Depository Participants Pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We also declare that the particulars given by us are true to the best of our knowledge as on the date of making such application. We further agree that any false / misleading information given by us or suppression of any material fact will render our account liable for termination and further action.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories)

Sole / First Holder	Name	Signature (s)
First Signatory		
Second Signatory		
Third Signatory		
Other Holders		
Second Holder		
Third Holder		

Mode of Operation for Sole / First Holder (In case of joint holdings, all the holders must sign)

Any one singly Jointly by _____

As per resolution _____

Others (Please specify) _____

Notes :

- In case of additional signatures, separate annexures should be attached to the application form.
- As per NSDL Circular No. NSDL/PI/2002/1319 dated August 8, 2002, following documents may be accepted as proof of identity / proof of address :
 - Memorandum & Articles of Association (MOA & AOA), board resolution for opening demat account and the list of authorised signatories alongwith their specimen signatures and photographs, etc.
 - Introduction by an existing account holder or by the applicant's bank.
 - Proof of address of the Corporate evidenced by the document registered with Registrar of companies or acknowledged copy of Income Tax Return or Bank Statement or leave and Licence agreement / Agreement for sale.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- Strike off whichever is not applicable.

Acknowledgement



B.L.K. FINANCIAL SERVICES LTD.

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Sl. No. of Application _____

Accepted / Rejected the application from M/s. _____ as the sole/first holder alongwith _____ and _____ as the second and third holders respectively for opening of a depository account. Your Client Id/CM-BP-Id (in case of Clearing Members) will be intimated to you shortly on acceptance. Please quote the DP Id & Client Id allotted to you (CM-BP-Id in case of Clearing Members) in all your future correspondence.

Date :

Participant Stamp & Signature

**AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT AND THE PERSON SEEKING TO
OPEN AN ACCOUNT WITH THE DEPOSITORY PARTICIPANT**

This agreement made and entered into this _____ day of _____ between _____ situated at _____

(hereinafter called "the client") and B.L.K. Financial Services Limited situated at Regd. Off. : A-12, Kailash Colony, New Delhi - 110 048 (hereinafter called "the Depository participant")

WITNESSETH

WHEREAS the client has furnished to the Depository Participant the duly filled in application from requesting herein to open an account with the Depository Participant

NOW THEREFORE in consideration of the Depository Participant having agreed to open an account for the client, both the parties to the agreement hereby convenient and agree as follows :

1. The client shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the Client and for rendering such other services as may be agreed to from time to time between the Depository participant and the Client as set out in Schedule A. The Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the client.
2. The client shall have the right to get the securities which have been admitted on the Depository dematerialised in the form and manner laid down under the Bye-Laws and Business Rules. The Depository participant further undertakes that it shall not create or permit to subsist any mortgage, charge or other encumbrance over all or any of such securities submitted for dematerialisation except on the instructions of the client.
3. The Depository Participant hereby undertakes that it shall maintain a separate account of its own securities held in dematerialised form with the Depository and shall not commingle the same with the securities held in dematerialised form on behalf of the Client.
4. The Depository Participant undertakes that a transfer to and from the accounts of the client shall be made only on the basis of an order, instruction, direction or mandate duly authorised by the Client and that the Depository participant shall maintain adequate audit trail of such authorization.
5. The Depository Participant agrees that the Client may give standing instructions with regard to the crediting of securities in his account and the Depository Participant shall act according to such instructions.
6. The Depository Participant undertakes to provide a transaction statement including statement of accounts, if any, to the client monthly intervals unless the Depository Participant and the Client have agreed for provisions of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the client atleast once a quarter.
7. The Depository Participant shall have the right to terminate this agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client as well as to the Depository. Similarly, the Client shall have the right to terminate this agreement and close his account held with the Depository participant, provided no charges are payable by him to the Depository participant, in such

an event, the Client shall specify whether the balances in its account should be transferred to another account of the client held with another Depository Participant or to rematerialise the security balances held. Based on the instructions of the Client, the Depository participant shall initiate the procedure for transferring such security balances or rematerialise such security balances within a period of thirty days, as per the procedure laid down in the Bye laws and Business Rules. Provided further, termination of this agreement shall not affect the rights, liabilities and obligations of either party and shall continue to bind the parties to their satisfactory completion.

8. On the failure of the Client to pay the charges as laid out in clause (1) of this agreement within a period of 30 days from the date of demand Depository Participant shall terminate this agreement and close the account of the client by requiring it to specify whether the balances in its account be transferred to the account of the client held with another Participant or be rematerialised in the manner specified in the Bye Laws and Business Rules.
9. The Client further agrees that in the event of the Client committing a default in the payment of any of the amounts provided in clause (1) within a period of thirty days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the client, the Depository participant may charge interest @ not more than 24% p.a. or such other rate as may be specified by the executive Committee from time to time for the period of such default, in case the client has failed to make the payment of any of the amounts as provided in clause (1) of this agreement, the Depository participant shall have the right to discontinue the Depository services till such time he makes the payment alongwith interest, if any, after giving two days notice to the Client.
10. The Depository participant shall have a right to provide such information related to the Client's account as may be requested by the NSDL from time to time.
11. The Client shall have the right to create a pledge of the securities held in the dematerialised form with the Depository Participant only in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules.
12. The Depository shall not be liable to the Client in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of securities credited to the clients accounts.
13. The Client may exercise the right to freeze his account maintained with the Depository Participant so as to lock the securities held with the Depository Participant in accordance with the procedures prescribed in the Bye Laws and Business Rules.
14. The Client may exercise the right to freeze his account maintained with the Depository Participant in accordance with the procedure and subject to the restrictions laid down under the Bye-laws and Business Rules.
15. The Client shall notify the Depository Participant within 7 days of any change in the details set out in the application form submitted to the Depository participant at the time of opening the account of furnished to the Depository Participant from time to time.
16. The Depository Participant undertakes to resolve all legitimate grievances of the client against the Depository Participant with a period of 30 days.
17. The Depository participant and the client shall abide by the arbitration and conciliation procedure prescribed under the Bye Laws of NSDL and such procedure shall be applicable to any disputes between the Depository participant and the Client.

18. The Depository participant and the Client further agree that all claims, difference and disputes, arising out of or in relation to dealings on the Depository including any transactions made subject to the Bye-Laws or Business Rules of the Depository or with reference to anything incidental there to or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions have been entered into or not shall be subject to the exclusive Jurisdiction of the courts at Mumbai only.

IN WITNESS WHEREOF the Client and the Depository Participant has caused these presents to be executed as of the day and year first above written.

Signed and delivered by

Sig. :

Name :

Address :

.....
(for and on behalf of the Client)

.....
Witness

Signed and delivered by

Sig. :

Name :

Address :

.....
(for and on behalf of the Depository participant)

.....
Witness

APPLICATION FOR CORPORATE

(To be printed on Letter Head)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED BY THE BOARD OF DIRECTORS OF
_____ AT THEIR MEETING HELD ON _____ AT _____ THEIR
REGD. OFFICE

1. Resolved that a corporate beneficiary/Clearing Member Account be opened for depository purpose with B.L.K.Financial Services Limited Regd. Office A-12, Kailash Colony, New Delhi-48.
2. Further resolved that Mr. _____ DIRECTORS of the company whose specimen signatures are attested below be jointly/severally authorised to sign on behalf of the company all documents and forms relating to such account (in relation to debit or credit or otherwise) in such forms as may be required by the BLK Financial Services Limited and further any instruction, indemnities and counter indemnities which may be required by BLK Financial Services Limited from the company in connection with the above mentioned account.
3. That this resolution be communicated to the BLK Financial Services Limited and remain in force until notice in writing be given to the BLK Financial Services Limited.

For

Director

Specimen Signature of

Mr.

Mr.

Attested by

Director

